

## ANNUAL CERTIFICATION OF NO SOLICITATION

(to be completed by persons operating in New York)  
The Certification below and any information submitted with it is subject to verification and audit by the NY State Dept. of Taxation and Finance

### I HEREBY CERTIFY, UNDER PENALTY OF PERJURY:

That the person named below is operating under an agreement with \_\_\_\_\_  
OUT-OF-STATE RETAILER'S NAME

and the person named below has not engaged in prohibited solicitation activities in New York that refers potential customers to \_\_\_\_\_ at any time during the previous year \_\_\_\_\_.  
OUT-OF-STATE RETAILER'S NAME XX-XX-XX TO XX-XX-XX

The prohibited solicitation activities include, but are not limited to, distributing flyers, coupons, newsletters, and other printed promotional materials or electronic equivalents, verbal soliciting (for example, in-person referrals), initiating telephone calls, and sending emails.

PERSON'S NAME		
SIGNATURE OF PERSON OR PERSON'S AUTHORIZED REPRESENTATIVE		DATE
PRINTED NAME OF PERSON SIGNING	TITLE	TELEPHONE NUMBER ( )
ADDRESS OF PERSON SIGNING (street, city, state, zip code)		
ADDRESS OF PERSON'S AUTHORIZED REPRESENTATIVE WHEN APPLICABLE (street, city, state, zip code)		

## ADDITIONAL STATEMENT FROM ORGANIZATION

(to be completed by organizations)  
The Certification below and any information submitted with it is subject to verification and audit by the NY State Dept. of Taxation and Finance

### I HEREBY CERTIFY, UNDER PENALTY OF PERJURY:

That the website of the organization named below includes information that alerts its members that anyone operating under the organization's agreement with \_\_\_\_\_  
ORGANIZATION'S NAME

is prohibited from engaging in any solicitation activities in New York that refers potential customers to \_\_\_\_\_, including, but not limited to, distributing flyers, coupons, newsletters, and other printed promotional materials or electronic equivalents, verbal soliciting (for example, in-person referrals), initiating telephone calls, and sending emails.  
OUT-OF-STATE RETAILER

ORGANIZATION'S NAME		
SIGNATURE OF ORGANIZATION'S AUTHORIZED REPRESENTATIVE		DATE
PRINTED NAME OF AUTHORIZED REPRESENTATIVE	TITLE	TELEPHONE NUMBER ( )
ADDRESS OF AUTHORIZED REPRESENTATIVE (street, city, state, zip code)		
ADDRESS OF ORGANIZATION (street, city, state, zip code)		ORGANIZATION'S WEBSITE